The Biologic Stability of the Industrial Evolution

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We compare G7 life expectancies with the existential conditions from 1870 to date, using the real annual outputs of goods and services per capita as a measure of existential conditions. Wars destroy analytic relations, but life insurers eliminate catastrophic losses, and the outputs have an envelope representing the undisturbed existential condition. Both evolutions are S-functions with the same growth parameter of 62 years. Its length bridging three generations and its constancy over two orders of magnitude in output implies biologic pace control. For the first time it is seen that the mean life expectancy precedes the existential condition by a constant 59 years and approaches asymptotically an age of 118. This precedence and the ratio of 2 follow quantitatively from life's integration over the existential condition. On the conditions of stable environment and openness to new knowledge, the industrial evolution will continue as a stable predictable process, immune to world wars and financial breakdowns.

The facts

The lasting benefits of the goods and services used for life cannot be measured in monetary terms like the costs and profits of producing these goods. While the latter's notorious instabilities have so far prevented long-term forecasting in spite of perfect data, the former may be stable and predictable, but we have practically no data.

The mean (unisex) life expectancy occurred to the authors as a possible exception because it is a good and independent measure of the lasting benefits from the existential conditions supplied by goods and services. The upper part of Figure 1 shows the mean unisex life expectancy L at birth in the UK, USA, Germany, and Japan as listed in the national statistical yearbooks. Life insurers use linear fits for extrapolation. Since this can only mean a nearly linear section

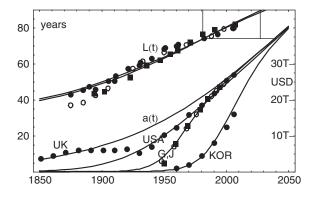


Figure 1. Comparison of mean life expectancy L(t) and GDP per capita (right hand scale) with theory (plots). Circles apply to Germany, squares to Japan, points to UK, USA, and Korea. Recoveries converge with the GDP of the industrial evolution a(t)

of a biologically acceptable function we fit, as the simplest choice, the logistic or S-function

$$L = L_o + \Delta L / (1 + e^{(T_L - t)/E})$$
⁽¹⁾

It is plotted with halftime in $T_L = 1981$, amplitude $\Delta L = 88$ years, and growth parameter E = 62 years. It starts with the reproduction minimum of $L_o \cong 30$ years. Its extrapolated maximum $\overline{L} = L_o + \Delta L \cong 118$ years for $t \to \infty$ specifies the genetic limit expected around 120 years for the leading nations. As indicated with the halftime rectangle, L has already passed its maximum annual gain $\dot{L} = \Delta L/4E = 0.035 \pm 0.01$ years p.a. The S-function's symmetry and small halftime growth rate of $\dot{L}/L = 0.0048 \pm 0.0002$ p.a. explain the life expectancy's generally observed long linear growth phase.

The systematic deviation of 19th century Germany and Japan is mainly caused by these countries' higher infant death rates due to their late entry into the industrial society. In medieval Europe, the vast majority lived near the existential minimum. Some earlier societies lived well above that level, but the long growth parameter shows that meaningful comparisons only make sense for populations having a similar existential background for at least three generations.

The second nearly coincident plot results from the next section's assumption that life integrates over existential conditions. The best integrated measure of the latter's level is the real (inflation corrected) gross domestic product (GDP) per capita, the annual value of goods and services as also listed in the national statistical yearbooks. The data were collected for the same nations 13 years ago.¹ They are reproduced in the lower part of Figure 1 in 1000 US\$ p.a. per capita in

the value and exchange rates of $1991.^2$ It is seen how lost wars and financial disasters destroy analytic relations between *L* and national GDPs, but research was kept strong during the last century, providing a steady source of new knowledge.

The linear convergence of European nations was discovered but not explained in an EU project.³ Linear growth means that growth rates decrease hyperbolically with time. We extended the data by including Japan and the USA, completed our theory of convergence¹ in a European-Japanese project⁴, and confirmed the collective envelope

$$a = a_o + \Delta a / (1 + e^{(T_a - t)/E})$$
⁽²⁾

of the GDPs as the national goal of convergence. It represents the undisturbed industrial evolution above wars and financial disasters, established with the industrialization in the 18th century UK. When the 'New Imperialism' became more a burden than an asset, the UK's growth levelled off and the USA became the leading world power. The first return to the industrial evolution was the recovery path of the USA from the second Wall Street Crash in 1928 and the following great depression. The next return was Europe's and Japan's recovery from the Second World War. Korea's recovery from the Korean War is presently at halftime.

Equation (2) is plotted with 1.000 US\$ p.a. per capita as the presently negligible pre-industrial level. The amplitude $\Delta a = 75.000$ US\$ p.a. per capita, the halftime $T_a = 2040$ where the growth rate is 1/2E, and the growth parameter E = 62 years were reported in 1997.¹ The long, nearly linear, growth phase within $T_a \pm E$ is even extended by preceding recoveries.

The line connecting both inflection points shows that *L* precedes *a* by $\Delta T_{La} = T_a - T_L = 59$ years. *L*(*t*) and *a*(*t*) have the same growth parameter and long term forecasting quality because all parameters are constants of our species or of the industrial society. They can be used as a base for extrapolation, national refinement, and possible reduction of the huge number of indicators used by forecasting institutions. Life insurers correct for direct and indirect deaths due to catastrophes and extrapolate for the life expectancies assuming that past trends of mortality and existential conditions continue. Then, equations (1) and (2) can be compared because equation (2) represents the undisturbed existential condition.

The bio-economic relation and its consequences

Figure 2 shows that *L* consists of three parts. The upper part between the S-functions is the genetic minimum L_o for reproduction. Following equations (1) and (2), the amplitude Δa can be normalized to the amplitude ΔL with the constant ratio $N = \Delta L/\Delta a = 1.17$ years per 1.000 US\$ in 1991 values. The most obvious assumption for this proportionality is that life integrates linearly and

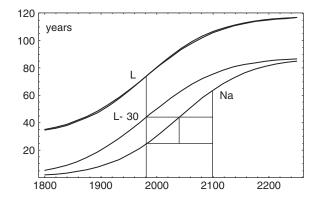


Figure 2. The life expectancy L, its existential minimum of 30 years (top difference), its base Na (bottom), and its individual addition during life with its associated shift (rectangles)

averages over the normalized existential condition. Relevant at birth is the integral taken over life's possible range \overline{L} . The result

$$L = L_o + (\Delta L/\overline{L}\Delta a) \int_t^{t+\overline{L}} (a-a_o)dt = L_o + \frac{E\Delta L}{\overline{L}} Log \frac{1+e^{(t+\overline{L}-T_a)/E}}{1+e^{(t-T_a)/E}}$$
(3)

is plotted in both figures together with its S-functional approximation

$$L \cong L_o + \Delta L / (1 + e^{(T_a - \overline{L}/2 - t)/E})$$
⁽⁴⁾

This bio-economic relation is very stable because all parameters are constants from the start of the industrial society to date. Assuming they remain constant, the following conclusions may be drawn:

- The maximum mean life expectancy \overline{L} is already observed with the shift $\overline{L}/2$ because comparison of equations (1) and (4) yields $T_L \equiv T_a \overline{L}/2$.
- The reciprocal functions 1/(L L_o) and 1/(a a_o) of equations (1) and (2) can be understood as an exponential decrease of human limitations with one and the same decay constant E to a lowest level. This cannot be zero because our species is not omnipotent. This excludes long-term linear as well as exponential growth with any positive rate. In fact, the growth rate decreases continuously because the decreasing gap to its maximum drives the S-function's growth rate.
- The constancy of E over eight generations and two orders of magnitude for a(t) in four cultures can hardly be credited to human control. Its wide independence and length linking three generations suggest genetic stabilization of E. This is compatible with the hereditability of longevity.⁵ The increase of the life expectancy gained

during the generation gap within individual life is then also heritable so that it can accumulate to the base Na in Figure 2. Otherwise, some invisible hand must force each consecutive generation from 1800 to 2200 to jump in life expectancy by 10 to 70 years in S-functional steps, and the great depression would have left a dent in the life expectancy.

- The existence of the bio-economic relation raises the question of causality because L(t) is quantitatively given by a(t), but the pace of a(t) is stabilized by E. The question is more general since the GDP is mainly guided by the technical knowledge required for production and L(t) by the general knowledge required for individual life. Both issues are resolved when both evolutions are guided by one coherent and collective set of knowledge.
- Since knowledge is partially competitive and therefore not additive, collective coherence requires continuous interaction for replacing obsolete with new relevant knowledge. The above decay constant is explained when this replacement proceeds with the reaction time *E*.
- Since leading nations have no society to copy from, new knowledge can only be obtained directly from nature with research. As long as a sufficiently large buffer is maintained, the length of *E* immunizes against changing support for research. This resolves the apparent contradiction between the considerable increase of G7 investment in R&D per capita after the Second World War and the S-functional long term decrease of their real per capita growth rates. So far, the industrial evolution was neither technically nor environmentally or resource limited.
- As long as E and \overline{L} are the only limits to growth, the industrial evolution and the recoveries from war will remain per capita stable and predictable. Environmental and resource problems will be due more to wars and population growth than to the industrial evolution.

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